



THE UNIVERSITY of EDINBURGH

## Edinburgh Research Explorer

### Amazon tree dominance across forest strata

**Citation for published version:**

Draper, FC, Costa, FRC, Arellano, G, Phillips, OL, Duque, A, Macía, MJ, Ter Steege, H, Asner, GP, Berenguer, E, Schietti, J, Socolar, JB, De Souza, FC, Dexter, KG, Jørgensen, PM, Tello, JS, Magnusson, WE, Baker, TR, Castilho, CV, Monteagudo-mendoza, A, Fine, PVA, Ruokolainen, K, Coronado, ENH, Aymard, G, Dávila, N, Sáenz, MS, Paredes, MAR, Engel, J, Fortunel, C, Paine, CET, Goret, J, Dourdain, A, Petronelli, P, Allie, E, Andino, JEG, Brien, RJW, Pérez, LC, Manzatto, ÂG, Zambrana, NYP, Molino, J, Sabatier, D, Chave, J, Fauset, S, Villacorta, RG, Réjou-méchain, M, Berry, PE, Melgaço, K, Feldpausch, TR, Sandoval, EV, Martinez, RV, Mesones, I, Junqueira, AB, Roucoux, KH, De Toledo, JJ, Andrade, AC, Camargo, JL, Del Aguila Pasquel, J, Santana, FD, Laurance, WF, Laurance, SG, Lovejoy, TE, Comiskey, JA, Galbraith, DR, Kalamandeen, M, Aguilar, GEN, Arenas, JV, Guerra, CAA, Flores, M, Llampazo, GF, Montenegro, LAT, Gomez, RZ, Pansonato, MP, Moscoso, VC, Vleminckx, J, Barrantes, OJV, Duivenvoorden, JF, De Sousa, SA, Arroyo, L, Perdiz, RO, Cravo, JS, Marimon, BS, Junior, BHM, Carvalho, FA, Damasco, G, Disney, M, Vital, MS, Díaz, PRS, Vicentini, A, Nascimento, H, Higuchi, N, Van Andel, T, Malhi, Y, Ribeiro, SC, Terborgh, JW, Thomas, RS, Dallmeier, F, Prieto, A, Hilário, RR, Salomão, RP, Silva, RDC, Casas, LF, Vieira, ICG, Araujo-murakami, A, Arevalo, FR, Ramírez-angulo, H, Torre, EV, Peñuela, MC, Killeen, TJ, Pardo, G, Jimenez-rojas, E, Castro, W, Cabrera, DG, Pipoly, J, De Sousa, TR, Silvera, M, Vos, V, Neill, D, Vargas, PN, Vela, DM, Aragão, LEOC, Umetsu, RK, Sierra, R, Wang, O, Young, KR, Prestes, NCCS, Massi, KG, Huaymacari, JR, Gutierrez, GAP, Aldana, AM, Alexiades, MN, Baccaro, F, Céron, C, Muelbert, AE, Rios, JMG, Lima, AS, Lloyd, JL, Pitman, NCA, Gamarra, LV, Oroche, CJC, Fuentes, AF, Palacios, W, Patiño, S, Torres-lezama, A & Baraloto, C 2021, 'Amazon tree dominance across forest strata', *Nature Ecology & Evolution*. <https://doi.org/10.1038/s41559-021-01418-y>

**Digital Object Identifier (DOI):**

[10.1038/s41559-021-01418-y](https://doi.org/10.1038/s41559-021-01418-y)

**Link:**

[Link to publication record in Edinburgh Research Explorer](#)

**Document Version:**

Peer reviewed version

**Published In:**

Nature Ecology & Evolution

**Publisher Rights Statement:**

Copyright © 2021, The Author(s), under exclusive licence to Springer Nature Limited

**General rights**

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.



1 **TITLE: Amazon tree dominance across forest strata**

2 Frederick C. Draper<sup>1,2,3\*</sup>, Flavia R. C. Costa<sup>4</sup>, Gabriel Arellano<sup>5</sup>, Oliver L. Phillips<sup>2</sup>, Alvaro  
3 Duque<sup>6</sup>, Manuel J. Macía<sup>7,8</sup>, Hans ter Steege<sup>9,10</sup>, Gregory P. Asner<sup>3</sup>, Erika Berenguer<sup>11,12</sup>,  
4 Juliana Schietti<sup>4</sup>, Jacob B. Socolar<sup>13</sup>, Fernanda Coelho de Souza<sup>4</sup>, Kyle G. Dexter<sup>14</sup>, Peter M.  
5 Jørgensen<sup>15</sup>, J. Sebastian Tello<sup>15</sup>, William E. Magnusson<sup>4</sup>, Timothy R. Baker<sup>2</sup>, Carolina V.  
6 Castilho<sup>16</sup>, Abel Monteagudo-Mendoza<sup>17</sup>, Paul V. A. Fine<sup>18</sup>, Kalle Ruokolainen<sup>19</sup>, Euridice  
7 N. Honorio Coronado<sup>20</sup>, Gerardo Aymard<sup>21,22</sup>, Nállarett Dávila<sup>20</sup>, Mauricio Sánchez Sáenz<sup>6</sup>,  
8 Marcos A. Rios Paredes<sup>20</sup>, Julien Engel<sup>23</sup>, Claire Fortunel<sup>23</sup>, C. E. Timothy Paine<sup>24</sup>, Jean-  
9 Yves Goret<sup>25</sup>, Aurelie Dourdain<sup>26</sup>, Pascal Petronelli<sup>26</sup>, Elodie Allie<sup>25</sup>, Juan E. Guevara  
10 Andino<sup>27</sup>, Roel J.W. Brienen<sup>2</sup>, Leslie Cayola Pérez<sup>28</sup>, Ângelo, G. Manzatto<sup>29</sup>, Narel Y.  
11 Paniagua Zambrana<sup>28</sup>, Jean-François Molino<sup>23</sup>, Daniel Sabatier<sup>23</sup>, Jérôme Chave<sup>30</sup>, Sophie  
12 Fauset<sup>31</sup>, Roosevelt Garcia Villacorta<sup>32</sup>, Maxime Réjou-Méchain<sup>23</sup>, Paul E. Berry<sup>5</sup>, Karina  
13 Melgaço<sup>4</sup>, Ted R. Feldpausch<sup>33</sup>, Elvis Valderamma Sandoval<sup>34</sup>, Rodolfo Vasquez Martinez<sup>35</sup>,  
14 Italo Mesones<sup>18</sup>, André B. Junqueira<sup>4,36</sup>, Katherine H. Roucoux<sup>37</sup>, José J. de Toledo<sup>38</sup>, Ana C.  
15 Andrade<sup>4</sup>, José Luís Camargo<sup>4</sup>, Jhon del Aguila Pasquel<sup>20</sup>, Flávia D. Santana<sup>4</sup>, William F.  
16 Laurance<sup>39</sup>, Susan G. Laurance<sup>39</sup>, Thomas E. Lovejoy<sup>40</sup>, James A. Comiskey<sup>41,42</sup>, David R.  
17 Galbraith<sup>2</sup>, Michelle Kalamandeen<sup>43,44</sup>, Gilberto E. Navarro Aguilar<sup>34</sup>, Jim Vega Arenas<sup>34</sup>,  
18 Carlos A. Amasifuen Guerra<sup>45</sup>, Manuel Flores<sup>34</sup>, Gerardo Flores Llampazo<sup>20</sup>, Luis A. Torres  
19 Montenegro<sup>46</sup>, Ricardo Zarate Gomez<sup>20</sup>, Marcelo P. Pansonato<sup>47</sup>, Victor Chama Moscoso<sup>17,35</sup>,  
20 Jason Vleminckx<sup>3</sup>, Oscar J Valverde Barrantes<sup>3</sup>, Joost F. Duivenvoorden<sup>48</sup>, Sidney Araújo de  
21 Sousa<sup>49</sup>, Luzmila Arroyo<sup>50</sup>, Ricardo O. Perdiz<sup>4</sup>, Jessica Soares Cravo<sup>4</sup>, Beatriz S. Marimon<sup>51</sup>,  
22 Ben Hur Marimon Junior<sup>51</sup>, Fernanda Antunes Carvalho<sup>52</sup>, Gabriel Damasco<sup>53</sup>, Mathias  
23 Disney<sup>54</sup>, Marcos Salgado Vital<sup>49</sup>, Pablo R. Stevenson Diaz<sup>55</sup>, Alberto Vicentini<sup>4</sup>, Henrique  
24 Nascimento<sup>4</sup>, Niro Higuchi<sup>4</sup>, Tinde Van Andel<sup>9</sup>, Yadvinder Malhi<sup>12</sup>, Sabina Cerruto  
25 Ribeiro<sup>56</sup>, John W. Terborgh<sup>57</sup>, Raquel S. Thomas<sup>58</sup>, Francisco Dallmeier<sup>59</sup>, Adriana Prieto<sup>60</sup>,

26 Renato R. Hilário<sup>38</sup>, Rafael P. Salomão<sup>61,62</sup>, Richarlly da Costa Silva<sup>56</sup>, Luisa F. Casas<sup>63</sup>, Ima  
 27 C. Guimarães Vieira<sup>62</sup>, Alejandro Araujo-Murakami<sup>50</sup>, Fredy Ramirez Arevalo<sup>64</sup>, Hirma  
 28 Ramírez-Angulo<sup>65</sup>, Emilio Vilanova Torre<sup>65,66</sup> Maria C. Peñuela<sup>67</sup> Timothy J. Killeen<sup>68</sup>,  
 29 Guido Pardo<sup>69</sup>, Eliana Jimenez-Rojas<sup>70</sup>, Wenderson Castro<sup>56</sup>, Darcy Galiano Cabrera<sup>17</sup>, John  
 30 Pipoly<sup>71,72</sup>, Thaiane Rodrigues de Sousa<sup>4</sup>, Marcos Silvera<sup>73</sup>, Vincent Vos<sup>69</sup>, David Neill<sup>74</sup>,  
 31 Percy Núñez Vargas<sup>17</sup>, Dilys M. Vela<sup>75</sup>, Luiz E.O.C Aragão<sup>76</sup>, Ricardo Keichi Umetsu<sup>51</sup>,  
 32 Rodrigo Sierra<sup>77</sup>, Ophelia Wang<sup>78</sup>, Kenneth R. Young<sup>79</sup>, Nayane C.C.S. Prestes<sup>51</sup>, Klécia G.  
 33 Massi<sup>80</sup>, José Reyna Huaymacari<sup>20</sup>, Germaine A. Parada Gutierrez<sup>50</sup>, Ana M. Aldana<sup>55</sup>,  
 34 Miguel N. Alexiades<sup>81</sup>, Fabrício Baccaro<sup>82</sup>, Carlos Céron<sup>83</sup>, Adriane Esquivel Muelbert<sup>84</sup>,  
 35 Julio M. Grandez Rios<sup>20</sup>, Antonio S. Lima<sup>62</sup>, Nigel C.A. Pitman<sup>85</sup>, Luis Valenzuela  
 36 Gamarra<sup>35</sup>, Cesar J. Cordova Oroche<sup>20</sup>, Alfredo F. Fuentes<sup>28</sup>, Walter Palacios<sup>86</sup>, Sandra  
 37 Patiño<sup>87</sup>, Armando Torres-Lezama<sup>65</sup> and Christopher Baraloto<sup>1</sup>

38

## 39 Affiliations

- 40 1. Institute of Environment, Department of Biological Sciences, Florida International  
41 University, Miami, FL, USA
- 42 2. School of Geography, University of Leeds, Leeds, UK
- 43 3. Center for Global Discovery and Conservation Science, Arizona State University,  
44 Tempe, AZ, USA
- 45 4. Instituto Nacional de Pesquisas da Amazônia – INPA, Manaus, AM, Brazil
- 46 5. Ecology and Evolutionary Biology, University of Michigan, Ann Arbor, MI, USA
- 47 6. Departamento de Ciencias Forestales, Universidad Nacional de Colombia, Medellín,  
48 Antioquia, Colombia
- 49 7. Departamento de Biología, Universidad Autónoma de Madrid, Spain
- 50 8. Centro de Investigación en Biodiversidad y Cambio Global (CIBC-UAM),  
51 Universidad Autónoma de Madrid, Madrid, Spain
- 52 9. Naturalis Biodiversity Center, Leiden, The Netherlands
- 53 10. Systems Ecology, Vrije Universiteit, Amsterdam, The Netherlands
- 54 11. Lancaster Environment Centre, Lancaster University, Lancaster, UK
- 55 12. Environmental Change Institute, University of Oxford, Oxford, UK
- 56 13. Faculty of Environmental Sciences and Natural Resource Management, Norwegian  
57 University of Life Sciences, Ås, Akershus, Norway
- 58 14. School of Geosciences, University of Edinburgh, Edinburgh, UK
- 59 15. Missouri Botanical Garden, St Louis, MO, USA
- 60 16. Brazilian Agricultural Research Corporation - Embrapa, Embrapa Roraima, Roraima,  
61 Brazil
- 62 17. Universidad Nacional de San Antonio Abad del Cusco, Cusco, Peru
- 63 18. Department of Integrative Biology, University of California Berkeley, Berkeley, CA,  
64 USA
- 65 19. Department of Biology, University of Turku, Turku, Finland
- 66 20. Instituto de Investigaciones de la Amazonía Peruana, Iquitos, Peru
- 67 21. UNELLEZ-Guanare, Programa de Ciencias del Agro y el Mar, Herbario Universitario  
68 (PORT), Mesa de Cavacas, estado Portuguesa, Venezuela
- 69 22. Compensation International Progress S. A. – Ciprogress Greenlife, Bogotá, D. C.  
70 Colombia
- 71 23. AMAP, Université de Montpellier, CIRAD, CNRS, INRAE, IRD, Montpellier,  
72 France
- 73 24. Environmental and Rural Science, University of New England, Armidale, New South  
74 Wales 2351 Australia
- 75 25. INRA, UMR EcoFoG, AgroParisTech, CNRS, CIRAD, Université des Antilles,  
76 Université de Guyane, Kourou, France
- 77 26. CIRAD, UMR EcoFoG, Kourou, France
- 78 27. BIOMAS, Universidad de Las Américas, Quito, Ecuador
- 79 28. Instituto de Ecología, Herbario Nacional de Bolivia, La Paz, Bolivia
- 80 29. Departamento de Biologia, Universidade Federal de Rondônia, Porto Velho, Brazil
- 81 30. Laboratoire Evolution et Diversité Biologique (EDB) CNRS/UPS, Toulouse, France

31. School of Geography, Earth and Environmental Sciences, University of Plymouth, UK
32. Department of Ecology and Evolutionary Biology, Cornell University, Ithaca, NY, USA
33. Department of Geography, University of Exeter, Exeter, UK
34. Facultad de Ciencias Biológicas, Universidad Nacional de la Amazonía Peruana, Iquito, Peru
35. Estación Biológica del Jardín Botánico de Missouri, Oxapampa, Peru
36. Institut de Ciència i Tecnologia Ambientals, Universitat Autònoma de Barcelona, Barcelona, Spain
37. School of Geography & Sustainable Development, University of St Andrews, St Andrews, UK
38. Department of Environment and Development, Federal University of Amapá, Brazil
39. Centre for Tropical Environmental and Sustainability Science (TESS) and College of Marine and Environmental Sciences, James Cook University
40. Department of Environmental Science and Policy, George Mason University, Fairfax, VA, USA
41. Inventory and Monitoring Program, National Park Service, Fredericksburg, VA, USA
42. Smithsonian Institution, Washington, DC, USA
43. Department of Plant Sciences, University of Cambridge, UK.
44. Living with Lakes Centre, Laurentian University, Canada.
45. DRGB, Instituto Nacional de Innovación Agraria (INIA), Av. La Molina 1981, Lima, Peru
46. Herbarium Amazonense (AMAZ). Universidad Nacional de la Amazonia Peruana, Loreto, Perú
47. Department of Ecology, Universidade de São Paulo, São Paulo, Brazil
48. Institute for Biodiversity and Ecosystem Dynamics, University of Amsterdam, The Netherlands
49. Centro de Estudos da Biodiversidade, Universidade Federal de Roraima, Boa Vista, RR, Brazil
50. Museo de Historia Natural Noel Kempff Mercado, Universidad Autónoma Gabriel Rene Moreno, Santa cruz, Bolivia
51. Faculdade de Ciências Agrárias, Biológicas e Sociais Aplicadas, Universidad do Estado de Mato Grosso, Nova Xavantina, MT, Brazil
52. Centro de Biociências, Universidade Federal do Rio Grande do Norte, Natal, Brazil
53. Department of Ecology, Evolution and Behaviour, University of Minnesota, Minneapolis, MN, USA
54. Department of Geography, University College London, London, UK
55. Departamento de Ciencias Biológicas, Universidad de Los Andes (Colombia), Bogotá, Colombia
56. Centro de Ciências Biológicas e da Natureza, Universidade Federal do Acre, Rio Branco, Brazil
57. Nicholas School of the Environment, Duke University, Durham, NC, USA

58. Iwokrama International Centre for Rainforest Conservation and Development,  
Georgetown, Guyana
59. Smithsonian's National Zoo & Conservation Biology Institute, Washington, DC,  
USA
60. Instituto de Ciencias Naturales, Universidad Nacional de Colombia, Bogotá,  
Colombia
61. Universidade Federal Rural da Amazônia - UFRA/CAPES, Belém, Pará, Brazil
62. Museu Paraense Emílio Goeldi, Belém, Pará, Brasil
63. Laboratorio de Ecología de Bosques Tropicales y Primatología, Fundación Natura  
Colombia, Universidad de Los Andes, Bogotá, Colombia
64. Facultad de Forestales, Universidad Nacional de la Amazonía Peruana, Iquito, Peru
65. Institute of Research for Forestry Development, Universidad de los Andes, Merida,  
Venezuela
66. School of Environmental and Forest Sciences (SEFS), University of Washington,  
Seattle, WA, USA
67. Universidad Regional Amazónica Ikiam, Tena, Ecuador
68. Agteca-Amazonica, Santa Cruz, Bolivia
69. Universidad Autónoma del Beni, Riberalta, Bolivia
70. Instituto Amazónico de Investigaciones IMANI, Universidad Nacional de Colombia  
Sede Amazonia
71. Broward County Parks and Recreation, Miami, FL, USA
72. Biological Sciences, Florida Atlantic University-Davie, Miami, FL, USA
73. Museu Universitário, Universidade Federal do Acre, Brazil
74. Facultad de Ingeniería Ambiental, Universidad Estatal Amazónica, Ecuador
75. Department of Biology, Washington University in St. Louis, St Louis, MO, USA
76. National Institute for Space Research (INPE), São José dos Campos-SP, Brazil
77. Geoinformática & Sistemas (GeoIS), Quito, Ecuador
78. School of Earth Sciences and Environmental Sustainability, Northern Arizona  
University, AZ, USA
79. Department of Geography and the Environment, University of Texas at Austin, TX,  
USA
80. Instituto de Ciência e Tecnologia, São Paulo State University (UNESP), São José dos  
Campos, Brazil
81. School of Anthropology and Conservation, University of Kent, Canterbury, UK
82. Universidade Federal do Amazonas, Manaus, Brazil
83. Herbario Alfredo Paredes (QAP), Universidad Central del Ecuador, Quito, Ecuador
84. School of Geography, Earth and Environmental Sciences, University of Birmingham,  
UK
85. Science and Education, The Field Museum, Chicago, IL, USA
86. Universidad Técnica del Norte, Herbario Nacional del Ecuador, Quito, Ecuador
87. Research Institute Alexander von Humboldt, Bogotá, Colombia

## Abstract

The forests of Amazonia are among the most biodiverse plant communities on Earth. Given the immediate threats posed by climate and land-use change, an improved understanding of how this extraordinary biodiversity is spatially organized is urgently required to develop effective conservation strategies. Most Amazonian tree species are extremely rare, but a small number are common across the region. Indeed, just 227 “hyperdominant” species account for more than 50% of all individuals > 10 cm dbh. Yet, the degree to which the phenomenon of hyperdominance is sensitive to tree size, the extent to which the composition of dominant species changes with size-class, and how evolutionary history constrains tree hyperdominance, all remain unknown. Here, we use a unique floristic dataset to show that, while hyperdominance is a universal phenomenon across forest strata, different species dominate the forest understory, midstory and canopy. We further find that although species belonging to a range of phylogenetically dispersed lineages have become hyperdominant in small size-classes, hyperdominants in large size-classes are restricted to a few lineages. These results suggest that achieving hyperdominance over large geographic regions has been much more challenging for canopy and emergent tree species than for understorey species. Our results demonstrate that it is essential to consider all forest strata in order to understand regional patterns of dominance and composition in Amazonia. More generally, through the lens of 654 hyperdominant species, we outline a tractable pathway for understanding the functioning of half of Amazonian forests across vertical strata and geographical locations.

## Main text

The immense diversity of Amazonian forests is one of Earth's great natural wonders, and underpins the functioning and resilience of ecosystems<sup>1,2</sup> that play a crucial role in the global carbon and water cycles<sup>3-5</sup>. Despite three centuries of investigation, however, our collective understanding of how this diversity is organized at regional scales remains limited<sup>6</sup>.

Confronted with such overwhelming diversity, the challenge of monitoring a few hundred hyperdominant species (i.e. those species that together account for 50% of individuals across Amazonia<sup>7</sup>) becomes more tractable than monitoring the many thousands of rare species, particularly given the pace of action required for contemporary management decisions<sup>8,9</sup>.

Understanding the ecology and distribution of hyperdominant species is essential because these species dominate key ecosystem processes (e.g. carbon storage and cycling<sup>10</sup>) and may serve as an effective proxy for general biodiversity patterns<sup>11</sup>.

Existing studies of Amazonian hyperdominance and regional-scale dominance have been limited by excluding small-stemmed individuals (<10 cm diameter) and by considering all individuals as equivalent regardless of diameter size-class<sup>7,11-15</sup>. Excluding small-stemmed species represents an important oversight because several thousand Amazonian tree species rarely or never reach 10 cm in diameter<sup>16-20</sup>. While local-scale and taxa-specific dominance has been documented in small size classes<sup>21-24</sup>, basin-wide hyperdominance in small size classes has not been confirmed. Consequently, species dominating the understory of Amazonian forests at a whole-Amazon scale are not yet identified. Treating all stems > 10 cm in diameter as equivalent is also likely to over emphasize the dominance of mid-statured tree species (e.g. 10-20 cm diameter). The power-law relationship between stem density and diameter means that small-stemmed individuals (e.g. < 20 cm) are at least an order of magnitude more abundant than larger individuals (e.g. > 50 cm)<sup>25</sup>. This skewed



213 understanding of dominance is highlighted by the difference between lists of hyperdominant  
214 species assembled using biomass rather than abundance<sup>10</sup>. This disparity suggests that a more  
215 nuanced approach that measures dominance separately across forest strata is required.

216 Based on existing local-scale studies and field observations, we expect the composition of  
217 hyperdominant species to vary substantially across forest strata due to different  
218 environmental filters (e.g. variable light profiles) and different species pools. Existing studies  
219 also suggest that compositional similarity between understory and canopy hyperdominant  
220 species may vary regionally<sup>26,27</sup>, perhaps due to regional variation in forest structure and rates  
221 of turnover<sup>28</sup>. For example, western Amazonia is known to have a floristically distinct  
222 understory, whereas understory communities in central and eastern Amazonia are thought to  
223 be comprised primarily by juveniles of larger tree species<sup>26</sup>.

224 We know that some taxonomic tree clades contain many hyperdominant species<sup>7</sup>, and that  
225 genus-level abundance has a significant phylogenetic signal<sup>29</sup>, yet no formal analysis of the  
226 phylogenetic structure of hyperdominance has been undertaken. Moreover, we may expect  
227 that hyperdominant species in different strata will display different phylogenetic patterns.  
228 Specifically, we hypothesize that hyperdominant species in large size classes from across  
229 Amazonia will be phylogenetically clustered for several reasons. First, maximum potential  
230 tree size has a significant phylogenetic signal in Amazonia<sup>30</sup>, and those genera able to occupy  
231 canopy and emergent strata are concentrated in specific lineages (e.g. families or orders) that  
232 are primarily located within a few deep clades (e.g. Fabids and Ericales)<sup>30</sup>. Second, while  
233 there is climatic variation across Amazonia, the above-canopy environment consists of high  
234 solar radiation, high temperatures, low humidity, and high diurnal variability irrespective of  
235 location<sup>31</sup>. These harsh but spatially consistent environmental conditions provide limited  
236 niche space, and are likely to filter for a distinct suite of functional characteristics that may

237 only have arisen in species belonging to a few specific lineages. Third, tall trees tend to  
238 disperse better than smaller trees and shrubs<sup>32,33</sup>, and at least some common large tree  
239 lineages have been well dispersed throughout Amazonia across evolutionary timescales<sup>34</sup>.  
240 This greater dispersal ability may mean that the strongest competitors for the canopy strata  
241 have been able to disperse consistently throughout Amazonia for millennia, thereby  
242 becoming hyperdominant across regions.

243 On the other hand, we may expect hyperdominant species in small understory strata may be  
244 more phylogenetically dispersed. First, because small trees and shrubs typically are more  
245 likely to be dispersal limited<sup>32,33</sup>, the strongest understory competitors may be less likely to  
246 disperse across regions and outcompete functionally equivalent species in other locations.  
247 Secondly, understory species are often locally abundant and frequently have fast generation  
248 times<sup>35</sup>. Over evolutionary timescales these high abundances and fast generation times may  
249 be likely to increase diversification among locally-restricted understory species<sup>36</sup>. Third, the  
250 below canopy environment is more spatially heterogeneous, due to variation in forest  
251 structure, and the frequency and size of forest gaps, potentially leading to increased niche  
252 partitioning in smaller size classes. Moreover, because forest structure varies across  
253 Amazonia (e.g. taller denser canopy in Guiana shield vs shorter more dynamic canopy in  
254 western Amazonia)<sup>28</sup>, smaller-statured species may be exposed to different abiotic and biotic  
255 filters across large spatial scales, and develop greater local specialization associated with  
256 distinct functional characteristics. Therefore, we further predict that understory  
257 hyperdominants from different regions should be more distantly related than hyperdominants  
258 in larger size classes.

259 Here we assemble a unique dataset of 1240 floristic inventory plots distributed across lowland  
260 Amazonia, which include stems as small as 2.5 cm (Figure 1). Based on individual diameter

261 measurements and species level identifications, we implement a spatially-stratified  
262 resampling approach to estimate basin-wide relative abundances for all tree species across six  
263 diameter size classes from the understory (2.5 – 5 cm) to the forest canopy (> 50 cm). Using  
264 this dataset, we identify those species dominating different strata of Amazonian forests and  
265 ask: Q1. Is hyperdominance a constant phenomenon across Amazonian tree strata? and Q2.  
266 Does the identity of hyperdominant species differ across Amazonian tree strata, and how does  
267 this vary regionally? We also used a recently developed genus-level molecular  
268 phylogeny<sup>37,38</sup> to ask Q.3 Do patterns of phylogenetic clustering in hyperdominant species  
269 vary across forest strata? And does this correspond with our expectations of increased  
270 clustering in large-stemmed canopy strata and increased phylogenetic dispersal in small-  
271 stemmed understory strata?

## Results and Discussion

### *Consistent hyperdominance across strata*

We find that hyperdominance occurs throughout the Amazonian flora across forest strata, but the proportion of species that qualify as hyperdominant (i.e. together account for 50% of individuals) varies across size classes and regions from 3 – 12 % (Figure 2A). At the basin-wide Amazonian scale, the proportion of species that qualify as hyperdominant in our dataset (~ 4%) is broadly consistent with empirically derived species counts from previous studies<sup>7,10</sup>. This consistency of hyperdominance across size classes suggests that regional dominance of tree communities is a feature shared across Amazonian forest strata.

A larger species pool will necessarily result in stronger patterns of dominance because it contains more rare species, which will decrease the proportion of species that qualify as hyperdominant, even if the abundance of the most dominant species remains constant. However, the relationship between species richness and the level of dominance we observed in a given size class or region is weak and primarily driven by the basin-wide data (Figure 2B). Therefore, our results suggest that variation in dominance among size classes and regions is not an artefact reflecting the variable sampling intensity among regions and size classes.

Some size classes are consistently more ‘dominated’ than others (i.e. a lower proportion of species are required to account for 50% of individuals). In particular, the 10 – 20 cm size class consistently displays the strongest dominance patterns (Figure 2). The two smallest size classes have weaker dominance patterns, perhaps because smaller-stemmed species are more dispersal limited than larger individuals<sup>32</sup>, and therefore less likely to be dominant over large areas and more likely to locally diversify. A clear exception to this occurs in forests on the Guiana Shield, where patterns of dominance are stronger in larger size classes than smaller

ones. This may be partially explained by the relatively low diversity in the understory of these forests, due perhaps to greater resource limitation imposed by extreme shade from the more structured canopy in addition to low fertility associated with oligotrophic soils in this region<sup>16</sup>.

The proportion of species that qualified as hyperdominant at the regional scale was generally higher than in basin-scale analyses, i.e. dominance patterns are weaker at the regional scale (Figure 2). This pattern is primarily driven by those exceptionally common and widespread species that achieve hyperdominance in two or more regions. However, several of these widespread hyperdominant species may be species complexes, as recently shown for *Protium heptaphyllum* and *Astrocaryum murumuru*<sup>39,40</sup>. Solving these issues will require more integrative taxonomic studies (e.g. incorporating DNA analyses alongside spectroscopy<sup>39,41</sup>) of other widespread hyperdominant species, which would help to further assess the validity of hyperdominant species identifications.

Southwest Amazonia exhibits stronger patterns of dominance than all other Amazonian regions in all but the largest size class (Figure 2). It is not immediately clear why this region has such strong patterns of dominance. However, it may be due in part to less environmental heterogeneity in this region, which contains relatively few areas of white-sand forest, swamp forests or seasonally-inundated forests<sup>42,43</sup>. Although we do not explicitly consider habitat type in this study, many hyperdominant species are known to be dominant only in a single habitat type<sup>7</sup>. Therefore, less environmental heterogeneity should lead to fewer hyperdominant species. The strong dominance patterns in southwestern Amazonia matter because several landmark studies have focussed on patterns of dominance in this region<sup>12,13,21</sup>, and these patterns may not be representative of Amazonia more generally<sup>44</sup>.

### *Identity of hyperdominant species across strata and region*

The identity of hyperdominant species varies substantially across forest strata and region. Over a third (38 %) of hyperdominant species are only dominant in a single size class within a single region, and nearly two thirds (62 %) are dominant in two or fewer size classes and two or fewer regions (Figure 3). Only one species, *Eschweilera coriacea*, achieves hyperdominance across all six size classes and all five regions. These results provide clear evidence that hyperdominant tree species composition is vertically stratified throughout Amazonia. Therefore, considering all individuals greater than 10 cm in diameter as equivalent completely overlooks the nuanced vertical stratification of tropical forests. Moreover, even though 10 cm diameter cutoff protocols are well-suited to monitor carbon fluxes<sup>3</sup>, alternative plot designs or data treatments may be better suited to monitor spatial variation in floristic diversity and composition<sup>45–47</sup>.

Our multivariate analysis illustrates two strong axes of compositional variation among hyperdominant tree species (Figure 4 panel A). The first axis differentiates the five regions, while the second represents a gradient across six tree size classes. This compositional variation across strata is important because our best current methods of observing forests at large scales are through either: 1. Remote sensing approaches, which detect only those trees that reach sky-facing canopy positions; or 2. Plot networks, which are heavily influenced by species dominant in smaller or intermediate size-classes. Our results demonstrate that species dominating the view from above the canopy are different from those that dominate the view from below, thereby emphasising the mismatch between remotely sensed and plot-based studies. Addressing this mismatch will be essential to successfully integrating field and remote sensing data at large scales in Amazonia.

Despite this compositional mismatch, our data also suggest that while canopy hyperdominants comprise different species from those that dominate the understory, there is

an important association in hyperdominant species composition between size classes within regions, i.e. hyperdominant species clearly form distinct regional groups across the first NMDS axis. Therefore, remotely sensed data from forest canopies may serve as an effective proxy for compositional patterns in smaller size classes, as has been found recently in understory tree, fern and lycophyte communities<sup>48,49</sup>.

Our results contrast with previous observations, which suggest that the understories of Eastern Amazonia are primarily composed of juvenile individuals of large-stemmed species whereas western Amazonia has a more specialist and compositionally distinct understory<sup>26</sup>. Instead, we find that across all regions, tree species that dominate forest understory tree communities are compositionally distinct from those that dominate the canopy, i.e. in all regions hyperdominant species form a distinct compositional gradient across strata, as reflected by the second NMDS axis (Figure 4). Indeed, there is no difference in potential maximum size among understory dominant species from different regions (Figure S.2).

At the family level, there is a clear positive relationship between the number of hyperdominant species and total species richness per family (Figure S3). However, our statistical null modelling approach shows that at a basin-wide scale several plant families have significantly more or fewer hyperdominant species than would be expected based on their species richness. Moreover, some families have more hyperdominant species than expected across several size classes; for example, Arecaceae, Burseraceae and Myristicaceae have more hyperdominant species than expected across all but the largest size class. Other families are overrepresented in terms of hyperdominant species in only smaller (e.g. Violaceae and Siparunaceae) or larger size classes (e.g. Moraceae). Alternatively, commonly occurring tree families including Rubiaceae and Lauraceae have consistently fewer hyperdominant species than we would expect based on their species richness.

Our results further reinforce the importance of the legume family Fabaceae in dominating Amazonian forests. At a basin-wide scale Fabaceae is the family with the greatest number of hyperdominant species across all size-classes, largely because Fabaceae is by far the most species rich family. While Fabaceae species are less common than would be expected by chance given their high species richness in small size classes, in the largest size-class Fabaceae are significantly overrepresented, and account for more than 30% of hyperdominant species.

#### *Phylogenetic structure of hyperdominance across Amazonian tree strata*

Our phylogenetic analyses demonstrate that while many lineages contain hyperdominant species (Figure 5), those species that are hyperdominant in the canopy of Amazonian forests show contrasting phylogenetic patterns to those that are hyperdominant in small understory strata (Figure 6).

We find overall support for our prediction that hyperdominant in larger size classes tend to be concentrated in a few closely related lineages, for example in Fabaceae and Moraceae as well as Lecythidaceae and Sapotaceae. This phylogenetic clustering of canopy hyperdominant species is highlighted by our mean pairwise phylogenetic distance (MPD) null modelling analysis (Figures 6 and S4), which shows that hyperdominant species in the largest size classes are consistently more closely related than would be expected by chance. Our phylogenetic composition results reveal that canopy strata across the basin are dominated by species belonging to closely related lineages (Figure 4 panel B). The close phylogenetic relationship among large-stemmed regionally dominant tree species across the basin suggests that these species have been well dispersed across the basin through evolutionary time, supporting previous studies that found evidence for widespread dispersal in several common Amazonian tree lineages<sup>34</sup>.



These findings have important implications: If we accept the premise that phylogenetic diversity is an effective proxy for ecological or indeed functional diversity<sup>50–52</sup>, the high phylogenetic similarity among canopy species suggests there is lower functional diversity within a large proportion of the canopy strata. This low functional diversity may in turn reduce the resilience of these canopy communities to climate change. Previous studies have shown that large canopy trees in Amazonia have distinct trait profiles (e.g. hydraulic traits)<sup>53</sup>, appear to be particularly affected by drought<sup>54</sup>, and play a crucial role in Amazonian forest carbon storage and cycling<sup>10</sup>. We propose that future research should continue to uncover the functional diversity and potential vulnerability both within and among lineages of these canopy hyperdominant species.

We find contrasting phylogenetic patterns in smaller, understory size-classes, which are widespread across the phylogeny as we predicted. Indeed, at the basin-wide scale, hyperdominant species in understory size classes are less closely related than expected by chance (Figure 6). This dispersed phylogenetic pattern is largely due to understory hyperdominants occurring across the major angiosperm clades (Figure S.5), but may also be because our list of understory hyperdominant species is composed of both understory specialist taxa as well as larger-statured species that achieve dominance as juveniles. Hence, this mixture of life stages and functional strategies across distinct clades is more likely to lead to a more phylogenetically dispersed assemblage. Nevertheless, our findings highlight that several characteristic understory genera such as *Piper* (Piperaceae), *Rinorea* (Violaceae), and *Miconia* (Melastomataceae) contain numerous hyperdominant species, which have not been recognised by previous studies of large stem (>10 cm) dominance<sup>7,12,13</sup>.

Our phylogenetic compositional analysis also supports our hypothesis that within understory strata, hyperdominant species from different regions are distantly related (Figure 4 panel B).

These results are consistent with limited dispersal and diversification of understory hyperdominant species at a basin-wide scale over evolutionary timescales, as has been suggested by others<sup>55</sup>. Furthermore, the ability to become dominant in the understory of Amazonian forests is found across a diverse range of lineages, and therefore is relatively common. Because many of these lineages are distantly related, this suggests that a range of functional strategies has evolved to achieve hyperdominance in Amazonian understories. Furthermore, the high phylogenetic distance among understory hyperdominant species is consistent with the hypothesis that greater environmental niche space in the forest understory has contributed to higher phylogenetic diversity.

#### *Future Directions*

The mechanisms that allow certain species to become hyperdominant remain elusive. However, our results provide a basis for testing hypotheses related to specific ecological mechanisms. Future analyses should capitalise on increasingly available functional trait data to tackle these issues. We expect species that dominate the canopy to be functionally distinct from those that dominate the understory; therefore, a size-class constrained framework may help to illuminate the mechanisms that underpin hyperdominance. In particular, a large-scale assessment of quantitative dispersal traits across a range of species may help to unravel why hyperdominant species in understory size classes display such different phylogenetic patterns to those in the canopy.

Previous studies have presented a compelling case for pre- or post- Columbian peoples increasing the abundance of many hyperdominant species in order to extract products such as fruits, nuts or building materials<sup>56,57</sup>. Here, we show that many of these “domesticated” hyperdominants (e.g. *Euterpe precatoria* and *Theobroma cacao*) are in fact only dominant in smaller size classes. One possible explanation is that it is easier to harvest and manage small

understory trees and shrubs than large canopy trees; therefore, species that dominate larger size classes may have been less influenced by human activity than species that dominate smaller size classes. It is important to note that this is not the case in all instances, and there is substantial evidence that some large-statured species (e.g. *Bertholletia excelsa*) were also managed during pre-Columbian times<sup>58</sup>. Further investigation into the role of humans in shaping the composition of Amazon understories may help explain why such distantly related species have become dominant in different Amazonia regions. For example, paleoecological records may reveal if different groups of indigenous peoples have propagated different tree species in different regions.

## Conclusions

There is a pressing demand to quantify and monitor the biodiversity of Amazonia in the coming decades, however, we currently lack the resources necessary to undertake the ‘Linnaean renaissance’ required to fully document the biota of arguably Earth’s most diverse forests. By identifying those species that are hyperdominant across forest strata, we outline a size-class based framework for understanding Amazonian forests, irrespective of strata or location. This framework has revealed that species dominating either the canopy or understories of Amazonian forests not only are taxonomically distinct but also represent different phylogenetic patterns. Species belonging to a range of phylogenetically dispersed lineages have become hyperdominant in small size classes, whereas species that are hyperdominant in large size-classes belong to a few specific lineages.

## Acknowledgements

We dedicate this study to the late Alwyn Gentry, who not only established 41 of the plots that form the foundation of our analyses, but also pioneered the synthetic approach that underpins our study. This paper is a product of the RedGentry, RAINFOR, PPBio and ATDN networks,

464 Data from many of these networks are curated by ForestPlots.net, a cyber-infrastructure  
 465 initiative that unites plot records and their contributing scientists from the world's tropical  
 466 forests. These initiatives have been supported by numerous people and grants, but we are  
 467 indebted to hundreds of institutions, field assistants, botanists, and local communities for help  
 468 in establishing plots and identifying their >4600 species. We would especially like to thank  
 469 the following for their important role: Eduardo Hase, Raimunda Nazaré Oliveira de Araújo,  
 470 Samuel Almeida, Julio Serrano, João Batista de Silva, Katia Cangani, Ocírio Souza Pereira,  
 471 Julio do Vale, Maria Carmozina, Everaldo da Costa Pereira, Sebastiao Salvino de Souza,  
 472 Cecilia Ballón Falcón, Massiel Corrales Medina, Antônio Magalhães da Silva, Jose Farreras,  
 473 Franklin Molina and Jon Lloyd.

474 FCD was funded by an EU MSC global fellowship 794973 'E-FUNDIA'; and FCD and CB  
 475 supported the collaborative network with funds from l'Institut national de recherche pour  
 476 l'agriculture, l'alimentation et l'environnement (INRAE), Florida International University  
 477 and the William R. Kenan, Jr. Charitable Trust. Funding for field plot data collection came  
 478 from a wide range of sources but particularly the following grants: *Conselho Nacional de*  
 479 *Desenvolvimento Científico e Tecnológico/Projetos Ecológicos de Longa Duração-*  
 480 CNPq/PELD (Nr. 441244/2016-5), Agence Nationale de la Recherche Blanc projet  
 481 NEBEDIV (ANR- 13-BSV7-009), an "Investissement d'avenir" grant from the Agence  
 482 Nationale de la Recherche (CEBA, ref. ANR-10- LABX-25-01) and Spanish Ministry of  
 483 Economy and Competitiveness, grant numbers CGL2015-72431-EXP and CGL2016-75414-  
 484 P.Many bodies funded the development of RAINFOR and ForestPlots.net, with key support  
 485 including from the Natural Environment Research Council (NERC) grants NE/F005806/1,  
 486 NE/D005590/1, NE/N012542/1, NE/N011570/1, as well as a European Research Council  
 487 (ERC) grant T-FORCES (291585) and Gordon and Betty Moore Foundation grant (#1656) to  
 488 O.L.P. This study is number XXX of the Technical Series of the Biological Dynamics of

489 Forest Fragments (BDFFP-INPA). Finally, we would like to thank Frans Bongers, Julissa  
490 Roncal and one anonymous reviewer for their valuable comments on the manuscript.

491

#### 492 **Author Contribution**

493 FCD and CB conceived the study. FCD, GPA and CB designed the study with input from FC,  
494 GA, OLP, and HtS. FCD and JBS performed the analysis with input from CB, GPA, GA,  
495 OLP, AD, FCdS and KD. FCD wrote the manuscript with input from CB, FC, GA, OLP, AD,  
496 MJM, GPA and HtS. Initials refer to the first 14 authors and the last author. All other  
497 coauthors contributed data and had the opportunity to comment on the manuscript.

#### 498 **Data availability**

499 The datasets generated and/or analysed within this study are available from the corresponding  
500 author on reasonable request and with permission of relevant data owners.

#### 501 **Code availability**

502 All custom written analytical code used in this study are available online  
503 (<https://github.com/FreddieDraper/RedGentry>)

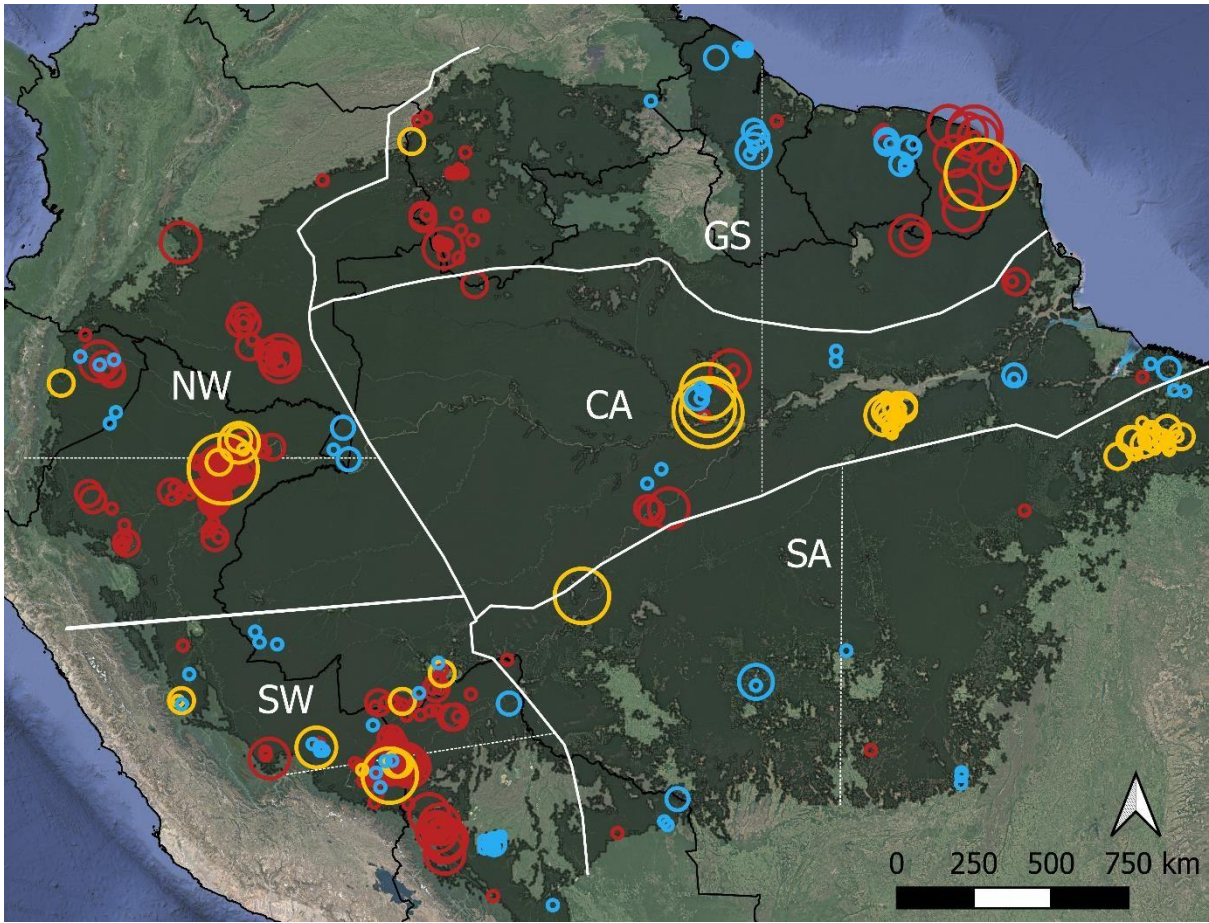


Figure 1. Map of study area and 1240 floristic inventory plots, represented by coloured points. Point size corresponds to number of plots at a given location (range 1 - 40 plots). Point colour refers to the plot size and diameter cut-off: 1. Red points: small plots  $< 1$  ha and stems  $\geq 2.5$  cm; 2. Blue points: large plots  $> 1$  ha and stems  $\geq 10$  cm; 3. Gold points: large plots  $> 1$  ha and stems  $\geq 10$  cm with nested subplot for small stems  $\geq 2.5$  cm. Solid white lines indicate the border of the five sampling regions defined for analyses, dashed white lines show the further subdivision of sampling regions into 10 sampling zones. Sampling regions have been labelled as follows: Northwest Amazonia (NW); Southwest Amazonia (SW); Southern Amazonia (SA); Central Amazonia (CA); Guiana Shield (GS). The shaded area shows the

516 area defined as Amazonia based on: 1. Annual precipitation > 1300 mm, 2. Elevation < 1000  
517 m (above sea level), and 3. Forest cover > 70%.

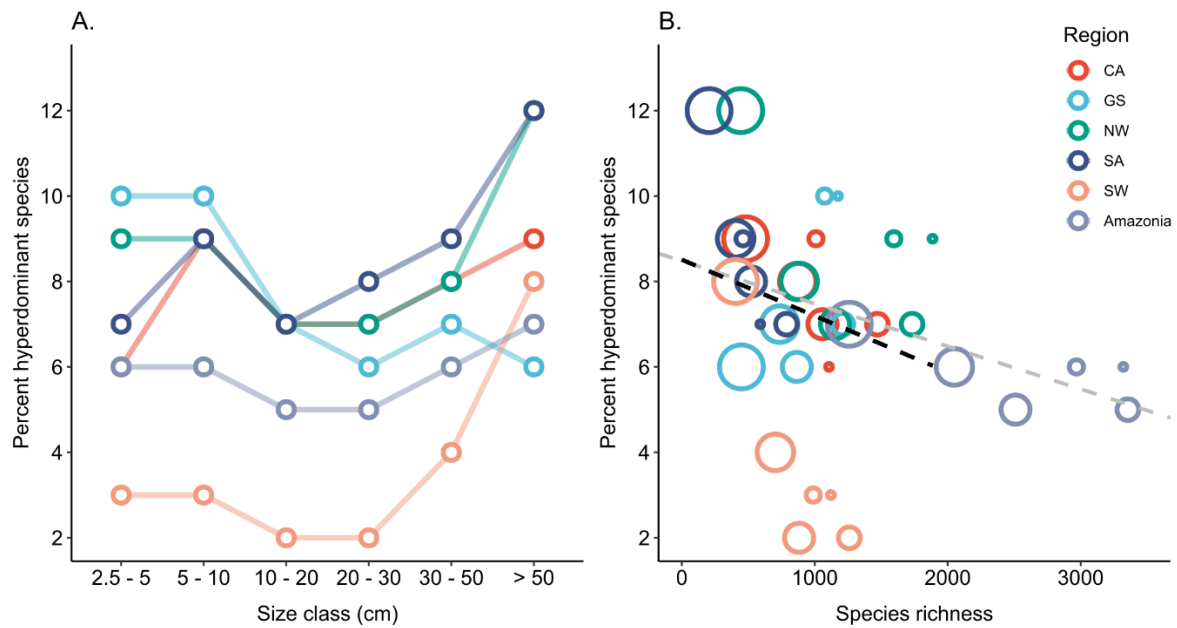
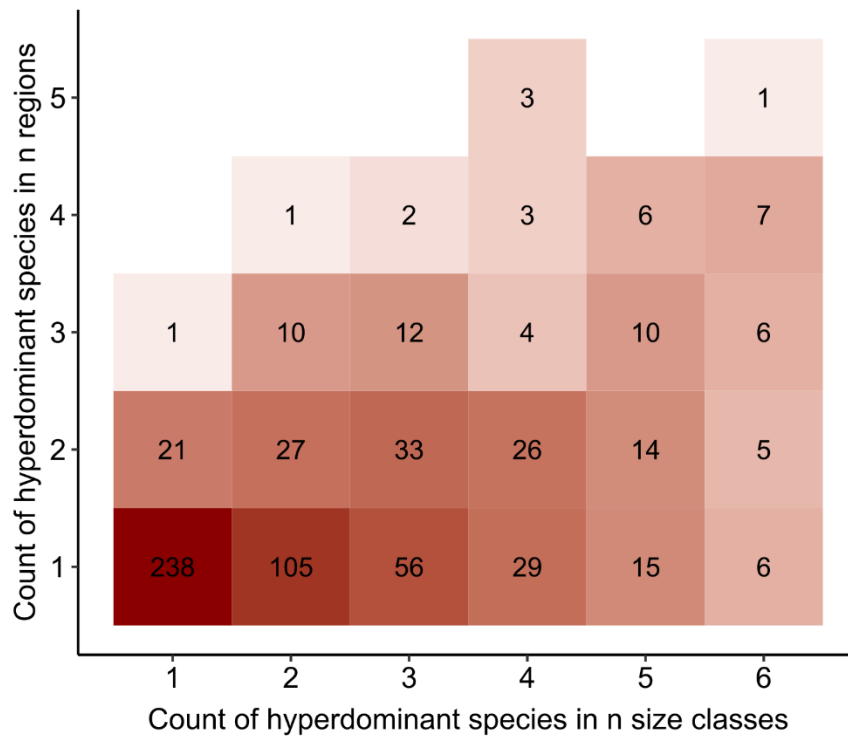


Figure 2. The proportion of species that are hyperdominant (i.e. together account for 50% of individuals) within six size classes across the five Amazonian regions and the basin-wide 'Amazonia' dataset (Panel A). The relationship between the proportion of species that are hyperdominant and total species richness across six size classes (indicated by symbol size) across the five Amazonian regions and the basin-wide 'Amazonia' dataset (Panel B). Dashed lines show linear regressions based on the five regional data sets (black line;  $R^2 = 0.01$ ,  $P = 0.26$ ), and the five regional datasets plus the basin-wide 'Amazonia' dataset (grey line;  $R^2 = 0.08$ ,  $P = 0.05$ ). In both panels, a lower proportion of hyperdominant species indicates stronger patterns of dominance. Sampling regions as indicated in Figure 1 are: Northwest Amazonia (NW); Southwest Amazonia (SW); Southern Amazonia (SA); Central Amazonia (CA); Guiana Shield (GS).

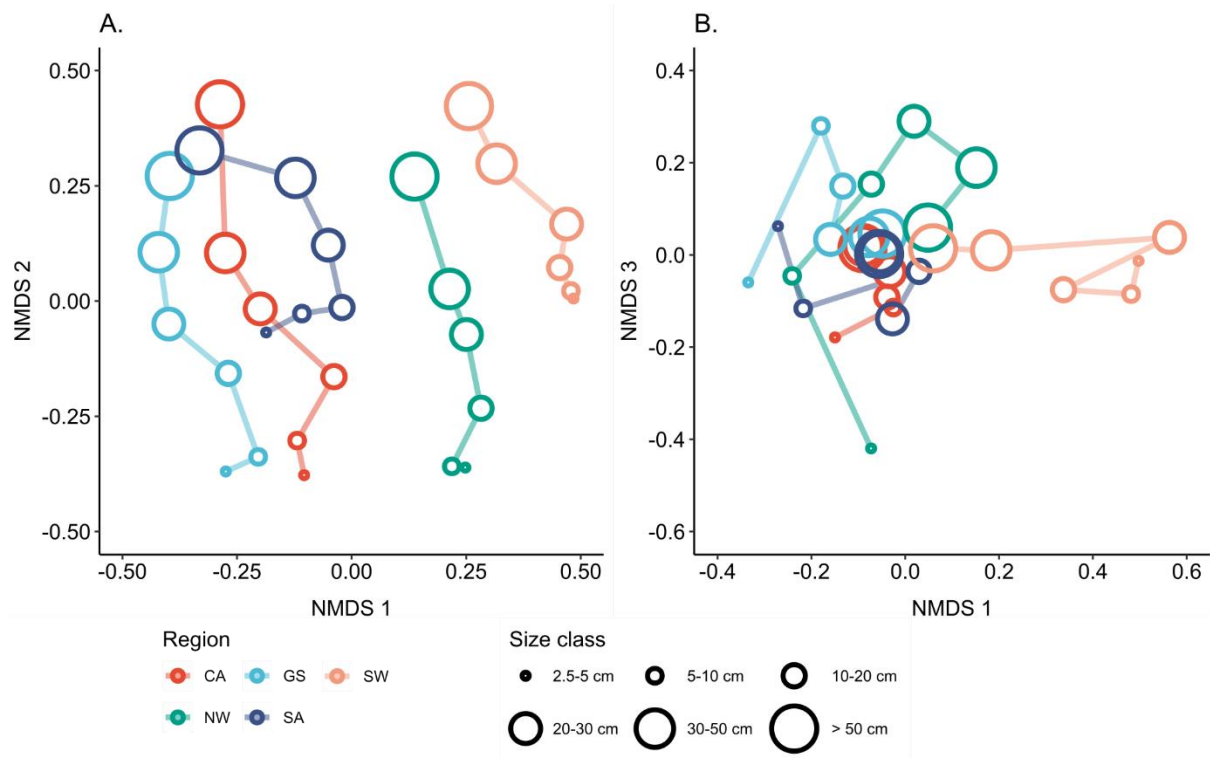




484

485

Figure 3. Two-dimensional histogram showing the number of species that are hyperdominant in one to six size classes and across one to five regions. Regions and size classes follow the same definitions as in figure 2.



486

487 Figure 4. NMDS ordinations showing similarity in composition of hyperdominant species in  
 488 terms of: A.) Taxonomic species similarity (Jaccard index) and B) deep-node-weighted  
 489 phylogenetic similarity (community level mean pairwise phylogenetic distance). Sampling  
 490 regions have been labelled as follows: Northwest Amazonia (NW); Southwest Amazonia  
 491 (SW); Southern Amazonia (SA); Central Amazonia (CA); Guiana Shield (GS).

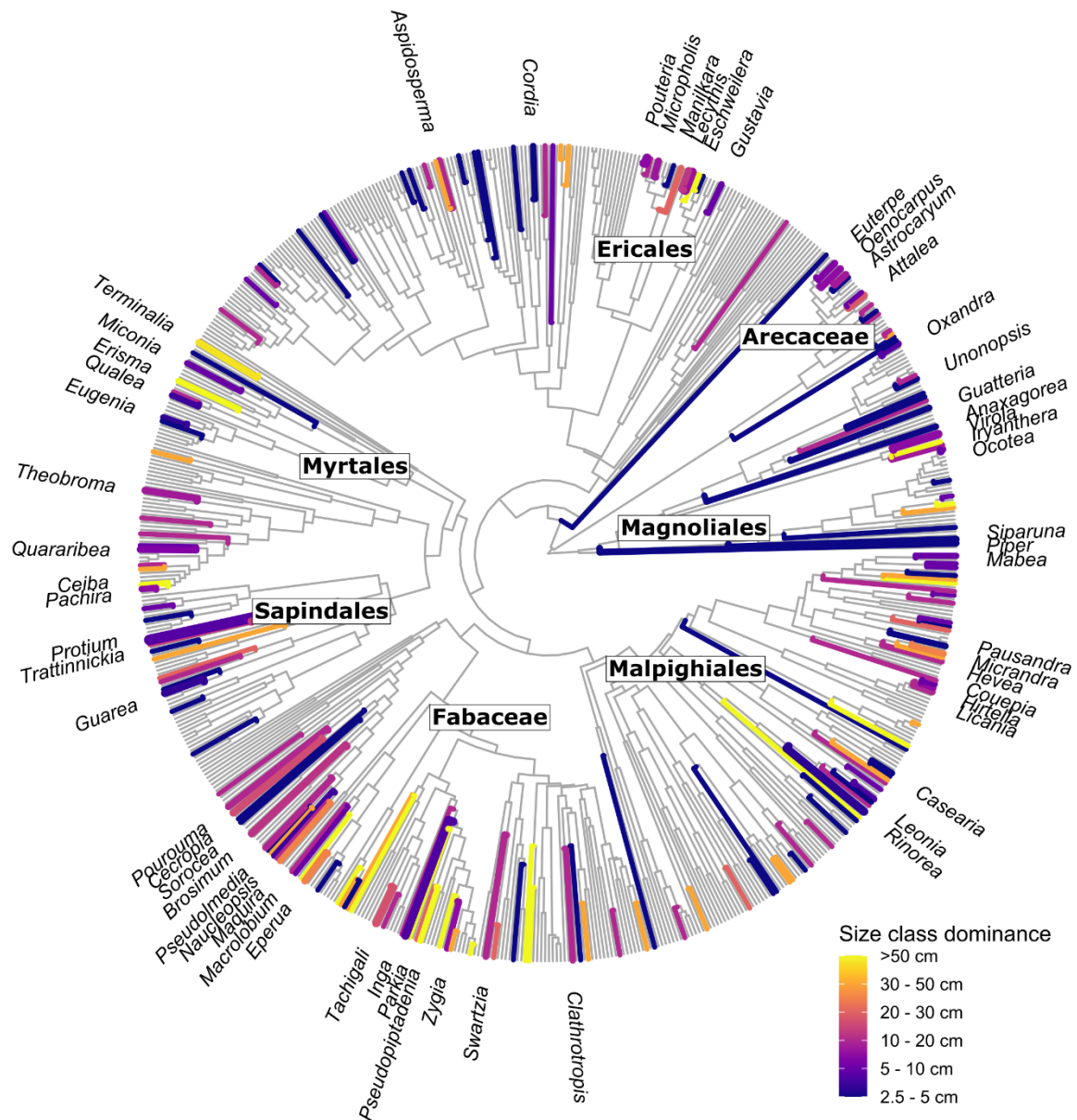


Figure 5. Hyperdominant species mapped onto a genus level Amazonian tree phylogeny. All genera with one or more hyperdominant species have been highlighted. Genera with three or more hyperdominant species have been labelled. Colour corresponds to the size class within which species belonging to that genus are most frequently hyperdominant.

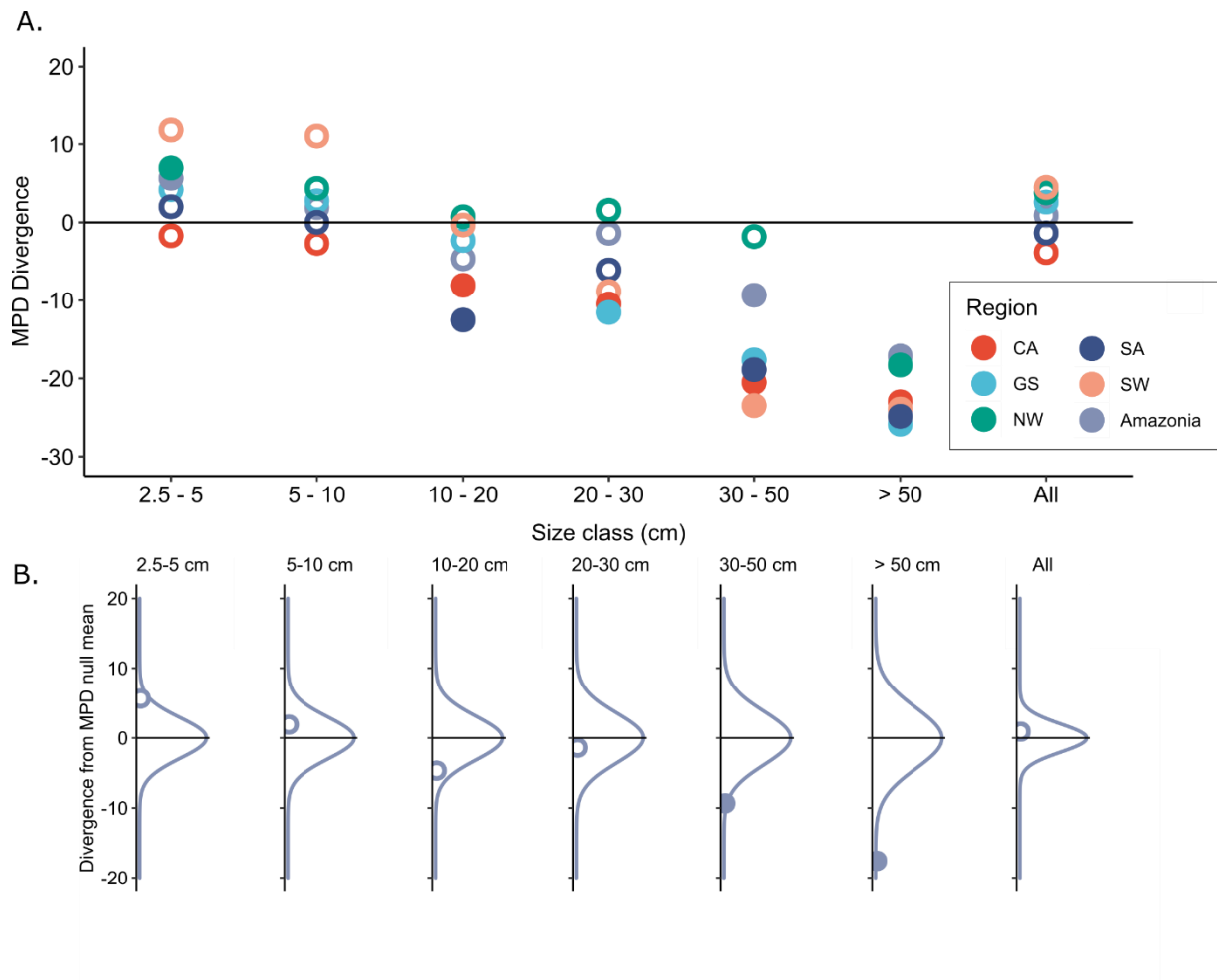


Figure 6. Divergence of mean pairwise phylogenetic distance (MPD) from null models for each hyperdominant community (Panel A). Positive numbers indicate greater MPD than expected by chance (i.e. species are more distantly related than expected by chance.). Negative numbers indicate lower MPD than expected by chance (i.e. species are more closely related than expected by chance). Filled symbols indicate hyperdominant communities that were outside the 95 % confidence interval of the null distribution. Panel B shows the null distributions and observed MPD for entire Amazonia hyperdominant communities. Regional null distributions are provided in Figure S4.

## Methods

### *Floristic data*

Floristic data came from 1240 forest inventory plots, distributed across the Amazon basin (Fig. 1). The plot data fall into two broad categories: Firstly, the RedGentry network consisted of 1027 small forest plots (typically 0.1ha but ranging from 0.04- 0.25 ha) within which all stems with a diameter at 1.3 m in height (dbh) greater than 2.5 cm were measured and identified. Secondly, 520 larger forest plots (typically 1 ha but ranging from 0.5 to 9 ha) from the RAINFOR and ATDN networks were used. Within these larger plots all stems with a dbh greater than 10 cm were measured and identified. Many of these plots are curated and stored within ForestPlots.net, a cyber-infrastructure initiative that unites plot records and their contributing scientists from the world's tropical forests.

The RedGentry plot data came from a range of sources and therefore included a range of plot sizes and sampling protocols. Most plots were 0.1 ha in size and consisted of 10 transects of 2 X 50 m arranged systematically around a single transect baseline following the 'Gentry protocol'<sup>46</sup>. However, 307 plots were subplots nested in within larger 1 ha inventory plots (Fig. 1). The majority of these nested 0.1 ha plots were part of the PPBio network.

### *Taxonomic standardization*

It was not possible to standardise morphospecies across datasets as plots were installed by many different botanical teams at different times, often without accompanying herbarium vouchers. Therefore, all individuals that were not identified to species level were excluded from all subsequent analysis. These exclusions lead to a substantial loss of individuals (mean 21 % of individuals per plot, Figure S.7) and were phylogenetically biased, i.e. some families had a higher degree of taxonomic uncertainty than others. Nevertheless, this approach renders

our analysis comparable to other landscape analyses conducted on larger stems in this and other regions.

#### *Species exclusions*

Species names were checked for synonymy and spelling mistakes using the taxonomic names resolution service (TNRS) using the R package *taxize*<sup>59</sup>. Any species that were not recognised in the automated process were checked manually for spelling mistakes. Identifications that could not be easily assigned to a species were considered unidentified morphospecies and were removed from further analysis. Finally, our list of legitimate species names was cross-checked against the most current published checklists<sup>60,61</sup>. Species that did not occur on this Amazon checklist (887 species) were checked manually against collection records in the Tropicos database<sup>62</sup>. 39 of these were confirmed to be illegitimate Amazonian species because they have ranges either outside of our region (i.e. on another continent). A further 579 species that were described as either epiphytes, lianas, herbs, or ferns were also excluded from our analysis. These lifeforms were included in some plot datasets and excluded from others. As individual datasets are normally geographically clustered, including them would likely lead to spatially biased species abundance estimates. A further 47 species were excluded because there was no recorded collection since their descriptions, we considered these individuals to be wrongly identified.

#### *Species inclusions*

We included 180 species in our analysis that had been excluded from previous analyses or checklists. The majority of these inclusions were small stemmed species that had previously been excluded for being shrubs or treelets. We considered these definitions to be subjective. 37 of these included species have previously been considered illegitimate because they occur primarily in Savanna or seasonally dry habitats. However, because several of our plots were

located close to boundaries between ecosystem types, and many species are shared across these boundaries (not necessarily in their optimum habitat), we included these non-core rainforest species in our dataset.

### *Defining Amazonia*

To ensure that our analysis included only plots located in lowland Amazonia and did not incorporate plots in marginal seasonally dry or montane environments, it was necessary to define our study area. We defined lowland Amazonia using four remotely sensed criteria: 1. Watersheds were estimated using the hydrosheds data layer<sup>63</sup>, in addition to the Amazon basin; we also included eastern branches of the Orinoco and all watersheds to the east of that mark in the Guiana Shield. 2. Elevation was measured using the global SRTM digital elevation model<sup>64</sup>, lowlands were considered to be land area below 1000m elevation following Cardoso *et al.* (2017)<sup>60</sup>. 3. Precipitation was estimated using the CHIRPS annual mean rainfall data<sup>65</sup>, and a minimum mean annual precipitation value of 1300 mm year<sup>-1</sup> was used to define moist forests following Cardoso *et al.* (2017)<sup>60</sup>. 4. Tree cover was estimated using the 2010 global forest cover map<sup>66</sup>, and all pixels with > 70 % forest cover were included. The four layers were overlaid, and the intersecting area was used to define Amazonia. This final layer was then sieved and filtered to reduce speckle, which was primarily driven by the complex patterns of deforestation along the southeastern border. All geospatial analysis was conducted using QGIS software<sup>67</sup>.

### *Spatial standardisation*

Many species (27 %) occurred fewer than five times across the plot network. Therefore, we did not attempt to generate basin-wide population estimates for most species as other studies have done<sup>7</sup>. Instead, we used only the empirical data from plots to estimate those species

likely to be hyperdominant at basin-wide scales, under the assumption that this plot network is reasonably representative of abundances of the most common species.

Plots are not distributed evenly across Amazonia, but instead are clustered in space, for example, there are many more plots in western Amazonia than in Southern or Eastern Amazonia. Furthermore, plots varied in size and therefore so did the number of individuals per plot. To account for these biases, and to attempt to ensure the Amazonian flora was sampled as evenly as possible, we used a spatially-stratified bootstrap resampling approach. All sampling procedures were performed in the statistical language R using the tidyverse packages dplyr, tidyr, and purrr<sup>68–70</sup>.

This approach consisted of the following steps:

- 1.) Greater Amazonia (as defined above) was divided into 5 regions roughly following previously defined boundaries<sup>7,49</sup>. Each region was then split roughly in half to generate 10 total sampling zones that were broadly similar in area (Area varied from 210,000 to 1081,000 km<sup>2</sup>). Each sampling zone contained at least 40 individual plots (at least 20 small plots and at least 10 large plots).

- 2.) The entire dataset was then divided into six strata-specific datasets. This was done by stratifying the data by dbh into six size classes (2.5 – 5 cm, 5 – 10 cm, 10 – 20 cm, 20 – 30 cm, 30 – 50 cm, >50 cm). Diameter was used as a proxy for tree height because tree height was not measured in most plots, and because of the strong allometric relationship between diameter and height.

- 3.) 20 small plots or large plots with nested subplots and five large plots were sampled from each sampling zone at random without replacement. This step ensured spatially even sampling across the basin, and the five additional large plots ensured a reasonable number of large individuals were sampled.



4.) From each of these 25 plots a standard number of individuals (50% of the median individuals per plot per size class) were sampled with replacement, ensuring an even number of individuals was sampled for each plot.

5.) These standardised samples from each plot were then assembled into a single species by plot matrix.

6.) Steps 2 and 3 were repeated  $10^6$  times, generating  $10^6$  estimates of abundance for each species across the basin.

7.) The mean and standard deviation of abundance for each species was calculated across the  $10^6$  estimates of abundance.

8.) Hyperdominant species were then defined as those species that together account for 50 % of the mean total abundance of all individuals within each size class across all iterations.

To identify regionally dominant species, steps two-seven were repeated for each of the five predefined regions individually.

#### *Phylogenetic analyses*

To understand where hyperdominant species are situated across the Amazonian phylogeny, we used a published genus-level molecular phylogeny for Amazonian tree species<sup>37,38</sup>. A genus-level phylogeny was used because a species-level molecular phylogeny for the full Amazonian flora does not yet exist. Genera occurring in our lists of Amazon-wide hyperdominant species were mapped onto the phylogeny, which was then pruned to remove taxa not occurring in our dataset. The final phylogenetic tree contained 646 genus tips. We then plotted the phylogeny for all genera occurring in our dataset using the R package *ggtree*<sup>71</sup>.

The tips of genera that contained hyperdominant species were coloured to highlight their location. Tip colours corresponded to a continuous variable that was the mean size class for hyperdominant species that were in the given genus. Genus labels were given to all genera that contained three or more hyperdominant species.

We used the mean pairwise phylogenetic distance (MPD) metric and a null modelling approach to test if hyperdominant species are more closely related to one another than would be expected if hyperdominance were distributed across the phylogeny at random<sup>51,72</sup>. Because a species-level molecular phylogeny has not been developed across Amazonian plant taxa, we first added species tips with a uniform branch length (0.1) to all tree genera. This approach allowed us to make species-level comparisons using a genus level phylogeny, while minimizing the assumptions made about within-genus phylogenetic structure. We then calculated MPD among hyperdominant species for each hyperdominant community, and compared this observed MPD to a null distribution of expected MPD if we sampled an equivalent number of species at random across a phylogeny with an equivalent species pool<sup>72</sup>. Where the observed MPD fell outside two standard deviations of the null distribution, that hyperdominant community was considered to be significantly more clustered (lower MPD) or significantly more dispersed (higher MPD) than we expect by chance. All phylogenetic analysis was conducted in R, using packages phylomeasures, phytools, and caper<sup>72-74</sup>.

MPD is known to be influenced by the extent to which species are divided among the three major angiosperm clades (Magnoliids, Monocots and Eudicots)<sup>75</sup>. Large stemmed Amazonian tree species are predominantly found within the Eudicots, while small stemmed species are found across the three clades. These deep-clade distributions are therefore likely to increase phylogenetic clustering within the large-stemmed species and increase phylogenetic overdispersion within small stemmed species. In part we account for this in measurement of MPD as we remove genera from the tree that do not occur in the size class/region for which

we are measuring MPD. However, to explore the effect of this deep-clade diversity further, we repeated our MPD analysis within Eudicots only (Figure S.5). This analysis demonstrates that the overall patterns of increased clustering in larger size classes is maintained within eudicots. The analysis also shows that the phylogenetic dispersion found within small-stemmed hyperdominant species is due to these understory hyperdominant species occurring across these deep phylogenetic nodes.

### *Compositional analyses*

To understand how the composition of hyperdominant species varied across size classes and regions we used a multivariate statistical approach. Specifically, we used the Jaccard index as a metric of how similar or different the composition of hyperdominant species was among the 36 communities of a given size classes within a given region, e.g. northwest amazon - 2.5-5 cm size class. For clarity, these regional and size class specific groups are hereafter referred to as hyperdominant communities. The Jaccard distances were generated using with the R package *vegan*<sup>76</sup>.

We expanded these compositional analyses not only to consider how taxonomic composition varied among hyperdominant communities, but also, to quantify how phylogenetically similar hyperdominant communities were among size classes and regions. To do this we again used a multivariate statistical approach, however, this time using two metrics of phylogenetic beta diversity. Both phylo-beta diversity metrics were calculated at genus rather than species level as we used the genus-level phylogeny. To account for the fact that some genera contain several hyperdominant species, we used the number of hyperdominant species per genus for each hyperdominant community per size class as our input community matrix.

The first metric that we used was the abundance weighted MPD among hyperdominant communities, which provides a deep/basal node weighted assessment of phylogenetic beta

diversity<sup>50</sup>. The second metric of phylogenetic beta diversity that we used was the generalized version the unifrac method<sup>77</sup>, calculated with the R package *GUniFrac*<sup>78</sup>. We used an  $\alpha$  value of 0.5, meaning that we moderately weighted genera by the number of hyperdominant species that they contained in that site/size class. The unifrac metric provides a stable tip-weighted assessment of phylogenetic beta-diversity.

Because the phylogenetic analysis was conducted using a genus-level phylogeny, we do not account for any within genus phylogenetic structure that could affect these metrics. However, any within-genus structure will have little effect on patterns of MPD, as this metric is heavily weighted towards deep-node differences among communities<sup>50</sup>. The tip-weighted unifrac method is likely to be more heavily influenced by the missing within-genus structure, therefore these results are only presented in the supplementary information.

To reduce the dimensionality of this multivariate data and visualize the taxonomic and phylogenetic similarities among hyperdominant communities we used Non-metric multidimensional scaling (NMDS). NMDS analyses were run for at least 50 iterations and until a stable solution was reached (stress < 0.2). Each NMDS was optimized over three dimensions and displayed in an ordination plots. All NMDS ordinations were performed in the R package *vegan*<sup>76</sup>.

## References

1. Sakschewski, B. *et al.* Resilience of Amazon forests emerges from plant trait diversity. *Nat. Clim. Chang.* **6**, 1032–1036 (2016).
2. Poorter, L. *et al.* Diversity enhances carbon storage in tropical forests. *Glob. Ecol. Biogeogr* **24**, 1314–1328. (2015).
3. Brien, R. J. W. *et al.* Long-term decline of the Amazon carbon sink. *Nature* **519**, 344–348 (2015).
4. Spracklen, D. V., Baker, J. C. A., Garcia-Carreras, L. & Marsham, J. H. The Effects of Tropical Vegetation on Rainfall. *Annu. Rev. Environ. Resour.* **43**, 193–218 (2018).
5. Staal, A. *et al.* Forest-rainfall cascades buffer against drought across the Amazon. *Nat. Clim. Chang.* **8**, 539–543 (2018).
6. ter Steege, H. *et al.* The discovery of the Amazonian tree flora with an updated checklist of all known tree taxa. *Sci. Rep.* **6**, 29549 (2016).
7. ter Steege, H. *et al.* Hyperdominance in the Amazonian tree flora. *Science*. **342**, 6156 (2013).
8. Wilson, E. O. Biodiversity research requires more boots on the ground. *Nat. Ecol. Evol.* **1**, 1590–1591 (2017).
9. Gomes, V. H. F., Vieira, I. C. G., Salomão, R. P. & ter Steege, H. Amazonian tree species threatened by deforestation and climate change. *Nat. Clim. Chang.* **9**, 547–553 (2019).
10. Fauset, S. *et al.* Hyperdominance in Amazonian forest carbon cycling. *Nat Commun* **6**, 1–9 (2015).
11. Draper, F. C. *et al.* Dominant tree species drive beta diversity patterns in western Amazonia. *Ecology* **100**, 02636 (2019).
12. Pitman, N. C. A. *et al.* Dominance and distribution of tree species in upper Amazonian terra firme forests. *Ecology* **82**, 2101–2117 (2001).

13. Pitman, N. C. A., Silman, M. R. & Terborgh, J. W. Oligarchies in Amazonian tree communities: a ten-year review. *Ecography*. **36**, 114–123 (2013).
14. Honorio Coronado, E. N. *et al.* Multi-scale comparisons of tree composition in Amazonian terra firme forests. *Biogeosciences* **6**, 2719–2731 (2009).
15. Pitman, N. C. A. *et al.* Distribution and abundance of tree species in swamp forests of Amazonian ecuador. *Ecography*. **37**, 902–915 (2014).
16. Gentry, A. H. & Emmons, L. H. Geographical Variation in Fertility, Phenology, and Composition of the Understory of Neotropical Forests. *Biotropica* **19**, 216 (1987).
17. Gentry, A. H. Patterns of Neotropical Plant Species Diversity. in *Evolutionary Biology* 1–84 (Springer US, 1982).
18. Gentry, A. H. & Dodson, C. Contribution of Nontrees to Species Richness of a Tropical Rain Forest. *Biotropica* **19**, 149 (1987).
19. Duque, A. *et al.* Insights into regional patterns of Amazonian forest structure, diversity, and dominance from three large terra-firme forest dynamics plots. *Biodivers. Conserv.* **26**, 669–686 (2017).
20. Duque, A., Sánchez, M., Cavelier, J. & Duivenvoorden, J. F. Different floristic patterns of woody understorey and canopy plants in Colombian Amazonia. *J. Trop. Ecol.* **18**, 499–525 (2002).
21. Arellano, G. *et al.* Oligarchic patterns in tropical forests: role of the spatial extent, environmental heterogeneity and diversity. *J. Biogeogr.* **43**, 616–626 (2016).
22. Macía, M. J. & Svenning, J.-C. Oligarchic dominance in western Amazonian plant communities. *J. Trop. Ecol.* **21**, 613–626 (2005).
23. Vormisto, J., Svenning, J., Hall, P. & Balslev, H. Diversity and dominance in palm (Arecaceae) communities in terra firme forests in the western Amazon basin. *J. Ecol.* **92**, 577–588 (2004).

- 743 24. Burnham, R. J. Dominance, diversity and distribution of lianas in Yasuní, Ecuador: who  
744 is on top? *J. Trop. Ecol.* **18**, 845–864 (2002).
- 745 25. Farrior, C. E., Bohlman, S. A., Hubbell, S. & Pacala, S. W. Dominance of the suppressed:  
746 Power-law size structure in tropical forests. *Science*. **351**, 155–157 (2016).
- 747 26. Baker, T. R. *et al.* Consistent, small effects of treefall disturbances on the composition  
748 and diversity of four Amazonian forests. *J. Ecol.* **104**, 497–506 (2016).
- 749 27. ter Steege, H. *et al.* Continental-scale patterns of canopy tree composition and function  
750 across Amazonia. *Nature* **443**, 444–447 (2006).
- 751 28. Quesada, C. A. *et al.* Basin-wide variations in Amazon forest structure and function are  
752 mediated by both soils and climate. *Biogeosciences* **9**, 2203–2246 (2012).
- 753 29. Dexter, K. & Chave, J. Evolutionary patterns of range size, abundance and species  
754 richness in Amazonian angiosperm trees. *PeerJ* **4**, e2402 (2016).
- 755 30. Coelho de Souza, F. *et al.* Evolutionary heritage influences Amazon tree ecology. *Proc.*  
756 *Royal Soc. Lond. B.* **283** (2019).
- 757 31. , Malhi, Y., & Wright J., Spatial patterns and recent trends in the climate of tropical  
758 rainforest regions. *Philos. Trans. R. Soc. Lond., B, Biol. Sci.*, **359** 311–329 (2004).
- 759 32. Thomson, F. J. *et al.*. Seed dispersal distance is more strongly correlated with plant height  
760 than with seed mass. *J. Ecol.* **99**, 1299–1307 (2011).
- 761 33. Thomson, F. J. *et al.* Can dispersal investment explain why tall plant species achieve  
762 longer dispersal distances than short plant species? *New Phytol.* **217**, 407–415 (2018).
- 763 34. Dexter, K. G. *et al.* Dispersal assembly of rain forest tree communities across the Amazon  
764 basin. *Proc. Natl. Acad. Sci. U. S. A.* **114**, 2645–2650 (2017).
- 765 35. Rüger, N. *et al.* Beyond the fast-slow continuum: demographic dimensions structuring a  
766 tropical tree community. *Ecol. Lett.* **21**, 1075–1084 (2018).

- 767 36. Baker, T. R. *et al.* Fast demographic traits promote high diversification rates of  
768 Amazonian trees. *Ecol. Lett.* **17**, 527–536 (2014).
- 769 37. Coelho de Souza, F. *et al.* Evolutionary diversity is associated with wood productivity in  
770 Amazonian forests. *Nat. Ecol. Evol.* **3**, 1754–1761 (2019).
- 771 38. Neves, D. M. *et al.* Evolutionary diversity in tropical tree communities peaks at  
772 intermediate precipitation. *Sci. Rep.* **10**, 1188 (2020).
- 773 39. Damasco, G., Daly, D. C., Vicentini, A. & Fine, P. V. A. Reestablishment of *Protium*  
774 *cordatum* (Burseraceae) based on integrative taxonomy. *Taxon* **68**, 34–46 (2019).
- 775 40. Roncal, R. *et al.* Palm diversification in two geologically contrasting regions of western  
776 Amazonia. **42** 1503–1513 (2015).
- 777 41. Draper F.C. *et al.* Quantifying Tropical Plant Diversity Requires an Integrated  
778 Technological Approach. *Trends Ecol. Evol.* **35** 1100–1109 (2020).
- 779 42. Junk, W. J. *et al.* A classification of major naturally-occurring Amazonian lowland  
780 wetlands. *Wetlands* **31**, 623–640 (2011).
- 781 43. Adeney, J. M., Christensen, N. L., Vicentini, A. & Cohn-Haft, M. White-sand  
782 Ecosystems in Amazonia. *Biotropica* **48**, 7–23 (2016).
- 783 44. Tuomisto, H., Ruokolainen, K. & Yli-Halla, M. Dispersal, environment, and floristic  
784 variation of Western Amazonian forests. *Science*. **299**, 241–244 (2003).
- 785 45. Baraloto, C. *et al.* Rapid Simultaneous Estimation of Aboveground Biomass and Tree  
786 Diversity Across Neotropical Forests: A Comparison of Field Inventory Methods.  
787 *Biotropica* **45**, 288–298 (2013).
- 788 46. Phillips, O. L. *et al.* Efficient plot-based floristic assessment of tropical forests. *J. Trop.*  
789 *Ecol.* **19**, 629–645 (2003).
- 790 47. Magnusson, W. E. *et al.* RAPELD: a modification of the Gentry method for biodiversity  
791 surveys in long-term ecological research sites. *Biota Neotrop.* **5**, 19–24 (2005).



48. Draper, F. C. *et al.* Imaging spectroscopy predicts variable distance decay across contrasting Amazonian tree communities. *J. Ecol.* **107**, 696–710 (2019).
49. Tuomisto, H. *et al.* Discovering floristic and geoecological gradients across Amazonia. *J. Biogeogr.* **46**, 1734–1748 (2019).
50. Swenson, N. G. The assembly of tropical tree communities – the advances and shortcomings of phylogenetic and functional trait analyses. *Ecography* **36**, 264–276 (2013).
51. Webb, C. O. Exploring the Phylogenetic Structure of Ecological Communities: An Example for Rain Forest Trees. *Am. Nat.* **156**, 145–155 (2000).
52. Baraloto, C. *et al.* Using functional traits and phylogenetic trees to examine the assembly of tropical tree communities. *J. Ecol.* **100**, 690–701 (2012).
53. Poorter, L. *et al.* The importance of wood traits and hydraulic conductance for the performance and life history strategies of 42 rainforest tree species. *New Phytol.* **185**, 481–492 (2010).
54. Rowland, L. *et al.* Death from drought in tropical forests is triggered by hydraulics not carbon starvation. *Nature* **528**, 119–122 (2015).
55. Gonzalez-Caro, S. *et al.* Scale-dependent drivers of the phylogenetic structure and similarity of tree communities in northwestern Amazonia. *J. Ecol.* (2020)
56. Levis, C. *et al.* Persistent effects of pre-Columbian plant domestication on Amazonian forest composition. *Science* **355**, 925–931 (2017).
57. McMichael, C. N. H., Matthews-Bird, F., Farfan-Rios, W. & Feeley, K. J. Ancient human disturbances may be skewing our understanding of Amazonian forests. *Proc. Natl. Acad. Sci. U. S. A.* **114**, 522–527 (2017).
58. Levis, C. *et al.* How people domesticated Amazonian forests. *Front. Ecol. Evol.* **5**, 171 (2018).

817 59. Chamberlain, S. *et al.* taxize: Taxonomic information from around the web. *R package*  
818 *version 0.9.95*. (2019)

819 60. Cardoso, D. *et al.* Amazon plant diversity revealed by a taxonomically verified species  
820 list. *Proc. Natl. Acad. Sci. U. S. A.* **114**, 10695–10700 (2017).

821 61. ter Steege, H. *et al.* Towards a dynamic list of Amazonian tree species. *Sci. Rep.* **9**, 3501  
822 (2019).

823 62. Tropicos.org. Missouri Botanical Garden. 26 November 2019. <http://www.tropicos.org>.

824 63. Lehner, B., Verdin, K. & Jarvis, A. New Global Hydrography Derived From Spaceborne  
825 Elevation Data. *Eos, Trans. Am. Geophys. Union* **89**, 93 (2008).

826 64. Jarvis, A., Reuter, H. I., Nelson, A. & Guevara, E. Hole-filled SRTM for the globe  
827 Version 4. *available from CGIAR-CSI SRTM 90m Database (<http://srtm.csi.cgiar.org>)*  
828 (2008).

829 65. Funk, C. *et al.* The climate hazards infrared precipitation with stations - A new  
830 environmental record for monitoring extremes. *Sci. Data* **2**, (2015).

831 66. Hansen, M. C. *et al.* High-resolution global maps of 21st-century forest cover change.  
832 *Science*. **342**, 850–853 (2013).

833 67. QGIS Development Team. QGIS Geographic Information System. Open Source  
834 Geospatial Foundation Project. (2019).

835 68. Wickham, H., Romain, F., Henry, L. & Müller, K. dplyr: A Grammar of Data  
836 Manipulation. *R package version 0.8.3*. (2019).

837 69. Wickham, H. & Henry, L. tidyr: Easily Tidy Data with ‘spread()’ and ‘gather()’  
838 Functions. *R package version 0.8.3*. (2019).

839 70. Henry, L. & Wickham, H. purrr: Functional Programming Tools. *R package version*  
840 *0.8.3*. (2019).

841 71. Yu, G., Smith, D. K., Zhu, H., Guan, Y. & Lam, T. T.-Y. ggtree : an r package for  
842 visualization and annotation of phylogenetic trees with their covariates and other  
843 associated data. *Methods Ecol. Evol.* **8**, 28–36 (2017).

844 72. Tsirogiannis, C. & Sandel, B. PhyloMeasures: a package for computing phylogenetic  
845 biodiversity measures and their statistical moments. *Ecography* **39** 709–714

846 73. Revell, L. J. phytools: an R package for phylogenetic comparative biology (and other  
847 things). *Methods Ecol. Evol.* **3**, 217–223 (2012).

848 74. Orme, D. *et al.* caper: Comparative Analyses of Phylogenetics and Evolution in R. *R*  
849 *package version 1.0.1.* (2018).

850 75. Honorio Coronado E. N. *et al.* Phylogenetic diversity of Amazonian tree communities.  
851 *Diversity and Distributions.* **21** 1295–1307 (2015)

852 76. Oksanen, J. *et al.* vegan: Community Ecology Package. *R package version 2.5-6.* (2019).

853 77. Chen, J. *et al.* Associating microbiome composition with environmental covariates using  
854 generalized UniFrac distances. *Bioinformatics* **28**, 2106–2113 (2012).

855 78. Chen, J. GUniFrac: Generalized UniFrac Distances. *R package version 1.1.* (2018).